

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification⁴ : C07K 13/00, 15/04, C12N 7/00 C12N 15/00, C12P 19/34 A61K 39/12, 39/21	A1	(11) International Publication Number: WO 87/ 02989 (43) International Publication Date: 21 May 1987 (21.05.87)
(21) International Application Number: PCT/US86/02374 (22) International Filing Date: 5 November 1986 (05.11.86) (31) Priority Application Number: 795,559 (32) Priority Date: 6 November 1985 (06.11.85) (33) Priority Country: US (71) Applicants: THE UNITED STATES OF AMERICA as represented by THE DEPARTMENT OF COMMERCE [US/US]; Washington, DC 20231 (US). SMITHKLINE BECKMAN CORPORATION [US/US]; One Franklin Plaza, P.O. Box 7929, Philadelphia, PA 19101 (US). (72) Inventors: ALDOVINNI, Anna ; 4713 Saul Road, Kensington, MD 20859 (US). DEBOUCK, Christine, Marie ; 667 Pugh Road, Wayne, PA 19087 (US). ROSENBERG, Martin ; 709 Swedeland Road, Swedeland, PA 19479 (US). WONG-STAAAL, Flossie ; 3 Lynn Manor Court, Rockville, MD 20850 (US).		(74) Agents: LENTZ, Edward, T. et al.; SmithKline Beckman Corporation, P.O. Box 7929, Philadelphia, PA 19101 (US). (81) Designated States: AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), DK, FI, FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), NO, SE (European patent). Published <i>With international search report.</i>
(54) Title: AIDS VIRUS GENE EXPRESSION (57) Abstract The <i>tat-3</i> gene of HTLV-III is expressed at high levels in <i>E. coli</i> and is reactive with antibodies induced in response to infection by HTLV-III and can induce production of antibodies which are reactive with HTLV-III.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	ML	Mali
AU	Australia	GA	Gabon	MR	Mauritania
BB	Barbados	GB	United Kingdom	MW	Malawi
BE	Belgium	HU	Hungary	NL	Netherlands
BG	Bulgaria	IT	Italy	NO	Norway
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland				

1

5

- 1 -

TITLE

10

AIDS Virus Gene ExpressionFIELD OF THE INVENTION

15

This invention relates to the field of molecular biology and more particularly to expression of a gene from the HTLV-III Virus in E. coli and uses thereof.

BACKGROUND OF THE INVENTION

20

25

30

35

Human T-lymphotropic virus type-III (HTLV-III), also known as Lymphadenopathy virus (LAV) or AIDS-associated retro-virus (ARV), is the etiological agent of the acquired immuno-deficiency syndrome (AIDS) and related disorders. While HTLV-III is evolutionarily more closely related to the ungulate lenti-retroviruses, it shares many common features with the previously isolated human T-lymphotropic viruses, types I and II (HTLV-I and HTLV-II), particularly those biological and pathogenic properties that are consequences of their capacity to infect helper T-lymphocytes and impair immune function. Furthermore, one unusual property unites HTLV types I, II and III, related animal retroviruses, such as bovine leukemia virus and simian T-lymphotropic viruses, type I, and the ungulate lenti-retroviruses, namely, the presence of a viral encoded protein which mediates activation of transcription initiated in the viral long terminal repeat (LTR). It has been speculated that this transcriptional activator (tat) plays a critical role in the biological

- 1 activities (transformation or cytopathic effects) of this group of viruses.

The severity of AIDS makes early and accurate diagnosis of infection by HTLV-III and detection and
5 elimination of HTLV-III-contaminated samples from blood banks extremely important. Gallo et al., U.S. Patent 4,520,113, disclose use of antigens derived from HTLV-III to detect presence of anti-HTLV-III antibodies in serum. Montagnier et al., EP-A-138,667, disclose use of a
10 specified HTLV-III antigen to detect infection by the virus. Papas et al., United States Patent Application Serial No. 6-664,972 (Derwent Accession No. 85-110268/18), disclose expression of a HTLV-I envelope protein coding sequence in E. coli and use of the protein expressed
15 thereby to detect infection by HTLV-I. Crowl et al., Cell 41: 979(1985), report expression in E. coli of portions of the HTLV-III envelope protein gene, env, and use of such proteins for detection of infection by HTLV-III. Casey et al., J. Virol. 55: 417 (1985), report purification of the
20 gag gene product, an internal structural protein of HTLV-III referred to as p24, and use of the protein to detect infection by HTLV-III.

Seiki et al., Proc. Nat'l. Acad. Sci USA 80: 2618 (1983), and Haseltine et al., Science 225: 419 (1984),
25 report identification of proteins which mediate activation of transcription of the LTR in HTLV-I and HTLV-II, referred to as the tat-1 and tat-2 proteins, respectively.

Sodroski et al., Science 227: 171 (1985), report in
30 trans activation of gene expression from the LTR in HTLV-III.

Arya et al., Science 229:69(1985), and Sodroski et al., Science 229: 74 (1985), report a tat protein encoded by HTLV-III and identification and cloning in E. coli of a cDNA coding for said tat protein, referred to as tat-3.

SUMMARY OF THE INVENTION

1 The invention is, in one aspect, an E. coli
expression vector which comprises a DNA coding sequence
operatively linked to a regulatory element wherein the DNA
coding sequence codes for the tat-3 protein of HTLV-III or
5 for a derivative thereof, which derivative is a
polypeptide which is reactive with antisera to tat-3
induced in response to infection in an animal by HTLV-III.

 In another aspect, the invention is a method for
10 detecting infection in an animal by HTLV-III which
comprises contacting a sample of serum from the animal
with tat-3, or a derivative thereof which derivative is a
polypeptide which is reactive with antisera to tat-3
induced in response to infection in an animal by HTLV-III,
15 and assaying for reactivity of the sample with the tat-3
or the tat-3 derivative.

 All of these embodiments of the invention, as
well as others described herein, are readily attainable
and are considered as further aspects of the same
20 invention.

DETAILED DESCRIPTION OF THE INVENTION

 It has now been discovered that the tat-3 protein of
HTLV-III can be expressed in E. coli in readily
25 recoverable quantities and that the protein so expressed
is reactive with sera from animals infected by the
HTLV-III virus.

 The E. coli expression vector of the invention is
prepared by recombinant DNA techniques or by a combination
30 of recombinant DNA and synthetic techniques. It comprises
at least a coding sequence for the tat-3 protein of
HTLV-III or for a derivative of the tat-3 protein which is
immunologically equivalent to tat-3. By "immunologically
equivalent" is meant that the derivative polypeptide is
35 reactive with antibodies to authentic tat-3 induced in

1 response to infection in an animal by HTLV-III or,
conversely, is capable of inducing an immune response
which is reactive with authentic tat-3. In the expression
vector of the invention, said coding sequence is
5 operatively linked to a regulatory element.

The coding sequence for authentic tat-3 can be
prepared by known techniques from HTLV-III virus or from
HTLV-III-infected cells by isolation of viral mRNA and
preparing cDNA by reverse transcription. Such preparation
10 of a tat-3 coding sequence is disclosed by Arya et al.,
Science 229: 69 (1985) and by Sodroski et al., Science
229: 74 (1985), both of which are incorporated by
reference herein.

Derivatives of the coding sequence so obtained can be
15 prepared by standard recombinant DNA and/or synthetic
techniques. These include mutation techniques reviewed by
Botstein, Science 229:1193 (1985). Such derivatives can
comprise addition, substitution or deletion of one or more
base pairs such that upon expression, the resulting fused,
20 mutated or truncated polypeptide is immunologically
equivalent to authentic tat-3. Typically, for use as a
diagnostic, such derivative will comprise a truncated
protein, for example a polypeptide of 5 to 10 amino acids
which retains immunologic cross-reactivity with tat-3,
25 such as a tat-3 protein in which N- or C-terminal amino
acids have been deleted.

The coding sequence for the polypeptide can be
inserted into any E. coli expression vector, many of which
are known and available. By "regulatory element" is meant
30 the expression control sequences, for example, a promoter
and ribosome binding site, required for transcription and
subsequent translation. Regulatable regulatory elements,
that is, regulatory signals which are not constitutive but
require induction or derepression, are preferred. Such
35 vectors typically comprise, in addition to the regulatory

1 element, a region which permits the vector to be stably
maintained in a host cell population, that is, a replicon
or origin of replication, and one or more selection
markers, that is, genes which confer a selectable
5 phenotype upon hosts carrying the vector. One exemplary
expression vector of the invention is the plasmid pAS1,
described by Rosenberg et al., Meth. Enzym., 101: 123
(1983) and Shatzman et al., in Experimental Manipulation
of Gene Expression, edit. by M. Inouye, Academic Press,
10 New York, 1982. pAS1 carries the pBR322 origin of
replication, an ampicillin resistance marker and a series
of fragments from bacteriophage lambda, which comprise the
regulatory element including PL, N anti-termination
function recognition sites (NutL and NutR), the
15 rho-dependent transcription termination signal (tRL) and
the cII ribosome binding site (rbs), including the cII
translation initiation site, the G residue of which is
followed immediately by a BamHI cleavage site as follows:

20 5'...cII...CA!TATG*GATCC...3'

wherein the symbol, *, indicates the cleavage site for
BamHI and the symbol,!, indicates the cleavage site for
NdeI.

25

pAS1 can be derived from pKC30cII by deleting
nucleotides between the BamHI site at the lambda-pBR322
junction of pKC30cII and the cII ATG and religating the
molecule to regenerate the BamHI site immediately
30 downstream of the ATG. pKC30cII is constructed by
inserting a 1.3 kb HaeIII fragment from lambda which
carries the cII gene into the HpaI site of pKC30. See
Shatzman et al., cited above, and Rosenberg et al., cited
above. pKC30 is described by Shimatake et al., Nature,

35

1 292: 128 (1981). It is a pBR322 derivative having a 2.4
kb HindIII-BamHI fragment of lambda inserted between the
HindIII and BamHI sites in the tetR gene of pBR322.
Constructions similar to pAS1 are described by Courtney et
5 al., Nature, 313, 145 (1985) and Kotewicz et al., Gene 35:
249(1985). Derivatives of pAS1, comprising the PL, NutL,
NutR and cII rbs regulatory element, can be constructed by
standard techniques. The coding sequence is operatively
10 linked, that is, in correct orientation and in proper
reading frame, to a regulatory element of an E. coli
expression vector by standard techniques to construct an
expression vector of the invention.

The tat-3 expressed by E. coli, as shown in the
Examples below, was reactive with 42 of 92 samples of sera
15 (46%) from individuals exposed to HTLV-III, but was not
reactive with sera from normal individuals. Thus, tat-3
and derivatives thereof can be used in detection of
HTLV-III infection by standard assay techniques which
permit detection of presence of tat-3 protein or
20 anti-tat-3 antibodies. The known host range for HTLV-III
is limited to man and certain other higher primates,
although presence in a larger animal pool at this time or
in the future cannot be ruled out. Preferably, tat-3 is
used in a battery of one or more other tests, such as
25 immunoassays for presence of the env, sor, gag or 3'orf
gene products in sera. Based on data gathered to date, a
positive reaction with tat-3 is 100% diagnostic of
HTLV-III infection. E. coli-derived tat-3 can also be
used to screen samples of blood in blood banks.

30 Techniques for employing tat-3 in such diagnostic
immunoassays are well known. These include, for example,
the technique disclosed by Casey et al., J. Virol. 55: 417
(1985) and by Crowl et al., Cell 41: 979 (1985). tat 3
can be employed in an enzyme linked immunosorbent assay

- 7 -

1 (ELISA) or radioimmuno assay (RIA). Preferably, a western blotting assay is employed, as an ELISA assay has been found in preliminary experiments to result in a small percentage of false positives.

5 Also, the tat-3 protein produced by E. coli can be used to stimulate production of anti-sera which is reactive with HTLV-III. Thus the tat-3 protein can be used as an antigenic component of a vaccine against infection by HTLV-III, although the protein is not
10 structural and appears to be localized in cell nuclei. The ability to raise polyclonal antibodies renders it possible also to produce monoclonal antibodies by the standard techniques originally described by Kohler and Milstein, Nature 256:495 (1975) or other techniques of
15 cell fusion or transformation. Such polyclonal or monoclonal antibodies can also be useful in detecting presence of tat-3 gene product in sera or in a cell population such as a cell culture. Such antibodies are also useful in affinity purification of tat-3, in epitope
20 mapping to localize functional domains within the protein, such as domains which function in DNA binding, and can be used as neutralizing agents in therapy for HTLV-III infection. The tat-3 gene product can also be used in regulation of LTR-controlled gene expression units as
25 disclosed by Arya et al. and Sodroski et al., et al, cited above. Because tat 3 is, by definition, functional in trans, an expression vector of the invention can be used to control expression for an integrated gene expression unit or a gene expression unit present in another
30 plasmid.

The predicted amino acid sequence of the tat-3 gene product (see, Example 1, below) reveals a highly hydrophilic protein with at least three discernible
domains in the first 57 amino acids: a proline-rich
35 region (5 out of 16 residues from positions 2-18), a cysteine-rich region (7 out of 16 residues from positions 22-37) and a lysine/arginine-rich region (8 out of 9

- 8 -

- 1 residues from positions 49-57). The availability of
highly purified tat-3 protein in sufficient quantities
will allow direct elucidation of its sites(s) and
mechanism(s) of action, e.g., its DNA binding properties.
5 In addition, expression of various truncated and mutated
forms of this protein will allow precise localization of
its functional domains. Availability of E. coli-derived
tat-3 will permit identification of effectors, especially
inhibitors of tat-3 function which can be used in therapy
10 for HTLV-III infection.

Although the tat-3 gene of HTLV-III may be
functionally analogous to the tat-1 and tat-2 genes and
all three are transcribed from three exons into an RNA of
about 2 kilobases, tat-3 differs from tat-1 and -2
15 including, for example, in its position in the genome, its
size and its primary nucleotide sequence.

The following Examples are illustrative, and not
limiting, of the invention and of techniques for making
and using the invention.

20

Example 1

pOTS34 was derived from pAS1 by inserting a 189 bp
fragment carrying a transcription terminator, the oop
terminator, into the NruI site downstream of the BamHI
25 site in pAS1 and by inserting a synthetic linker (XbaI,
XhoI, SacI) into the SalI site between the BamHI site and
the added terminator. pOTS34 is identical to pOTS-5 or
pOTSV (Devare et al., Cell 36: 43 (1984)) except that the
linker is inserted in opposite orientation.

- 30 A vector carrying a cDNA for tat-3, pCV-1, (Arya et
al., cited above) was employed as a source of the tat-3
coding sequence. The nucleotide and predicted amino acid
sequence of the cDNA in pCV-1 are as follows:

35

- 9 -

1 ATG GAG CCA GTA GAT CCT AGA CTA GAG CCC TGG AAG CAT CCA GGA AGT CAG CCT AAA ACT
 MET GLU PRO VAL ASP PRO ARG LEU GLU PRO TRP LYS HIS PRO GLY SER GLN PRO LYS THR

 GCT TGT ACC AAT TGC TAT TGT AAA AAG TGT TGC TTT CAT TGC CAA GTT TGT TTC ATA ACA
 ALA CYS THR ASN CYS TYR CYS LYS LYS CYS CYS PHE HIS CYS GLN VAL CYS PHE ILE THR

 5 AAA GCC TTA GGC ATC TCC TAT GGC AGG AAG AAG CGG AGA CAG CGA CGA AGA CCT CCT CAA
 LYS ALA LEU GLY ILE SER TYR GLY ARG LYS LYS ARG ARG GLN ARG ARG ARG PRO PRO GLN

 GGC AGT CAG ACT CAT CAA GTT TCT CTA TCA AAG CAA CCC ACC TCC CAA TCC CGA GGG GAC
 GLY SER GLN THR HIS GLN VAL SER LEU SER LYS GLN PRO THR SER GLN SER ARG GLY ASP

 CCG ACA GGC CCG AAG GAA TAG
 10 PRO THR GLY PRO LYS GLU END

The strategy used to express the complete tat-3 protein involved two stages. First, the tat-3 coding region lacking the first 12 base-pairs (bp) at its 5' end was obtained as a MboI restriction endonuclease fragment from the cDNA clone. This fragment was inserted at the BamHI site of the pOTS34 vector. The resultant construct, pOTS-tatIIID, contains the tat-3 coding sequence deleted in codons 2 to 4 and positioned in-frame with the initiation codon provided by pOTS34. The second stage involved regeneration of the three missing codons at the amino-terminus. Since the 5' but not the 3' BamHI site was recreated in the pOTS-tatIIID plasmid, this vector was digested with BamHI, followed by Mung Bean exonuclease to create a blunt-end cloning site immediately adjacent to the initiation codon and the fifth codon of tat-3. A synthetic DNA linker reconstructing the missing codons was then inserted. The nucleotide sequence of the linker was slightly modified from the tat-3 gene without altering the amino acid sequence such that the 2d, 3d and 4th codons were as follows: GAA CCG GTG. This construction resulted in a BamHI site between the 4th and 5th codons. The final construction, pOTS-tatIII, consists of the reconstructed full-length tat-3 coding sequence in

- 10 -

1 frame with the ATG of pOTS34. A sample of pOTS-tatIII has been deposited under the terms of the Budapest Treaty in the American Type Culture Collection, Rockville, Maryland, under Accession Number 53305.

5 pOTS-tatIIID, pOTS-tatIII and a control vector without insert (pOTS34), were introduced into E. coli ARI20, a ci^+ lysogen inducible by nalidixic acid. See Mott et al., Proc. Nat'l. Acad. Sci. USA 82:88 (1985). Aliquots of the bacterial lysate at different times after
10 induction were subjected to polyacrylamide gel electrophoresis. The ARI20 bacterial cells containing pOTS34, pOTS-tatIIID or pOTS-tatIII were grown to OD650 = 0.4-0.5 and induced by the addition of nalidixic acid to 60 μ g/ml substantially as described by Mott et al., cited
15 above. Aliquots were taken 0, 3, and 5 hours after induction, spun down and resuspended in lysis buffer (60mM Tris-HCl (pH 7.0), 10% glycerol, 5% 2-mercaptoethanol, 2% SDS, 0.1% bromophenolblue). The proteins were resolved on a 15% SDS-polyacrylamide gel (acrylamide:bisacrylamide
20 ratio of 30:0.8) and visualized by staining with Coomassie Brilliant Blue R-250.

A protein migrating with a 14 kd lysozyme marker was specifically induced in the cells transfected with pOTS-tatIIID, and a slightly larger protein was detected
25 in the cells transfected with pOTS-tatIII. Although the apparent molecular size of 14 kd is greater than that expected for tat-3, about 9.7 kd based on the amino acid sequence, the discrepancy is attributed to the high proline content of this protein which could have retarded
30 its migration. This example demonstrates high level expression of the tat-3 coding sequence in E. coli.

Example 2

The production of highly expressed tat-3 protein in bacteria (2-5% of total cellular protein), as in Example
35 1, allowed preparation of specific polyclonal antibodies

1 against it. For this purpose the E. coli-derived tat-3
protein, purified by electroelution following resolution
on preparative polyacrylamide gels, was injected
subscapularly into New Zealand white rabbits. Antisera
5 from immunized rabbits reacted well against the 14 kd
protein expressed in bacteria. Furthermore, a similar
sized protein, 14 kd by polyacrylamide gel
electrophoresis, was detected at low levels in an infected
T-lymphocyte cell line (H9/HTLV-III-B) by immuno-
10 precipitation. This latter data was the first evidence
that E. coli-derived tat-3, even after SDS gel
electrophoresis, retained epitopes in common with native
tat-3, thus demonstrating that bacterially-derived tat-3
can be used to produce antibodies which are reactive with
15 authentic tat-3.

Example 3

To evaluate whether the tat-3 protein could be of
diagnostic or prognostic value, sera from diverse
20 individuals were examined for reactivity against the
partially purified protein by Western blotting.
Specifically, following partial purification, resolution
on SDS-polyacrylamide gel and electrotransfer to a
nitrocellulose membrane, the membrane was cut into strips
25 such that each strip was estimated to contain
1 µg of tat-3. The strips were incubated at room
temperature for 1 hr in milk buffer (5% non-fat dry milk,
0.1% Antifoam A, 0.1% N_2N_3 , 0.9% NaCl) and then were
incubated at 4°C with a 1/100 dilution of patient sera.
30 The strips were then washed for 20 min in phosphate
buffered saline (PBS) and incubated for 1 hr at room
temperature (20-25°C) in the milk buffer containing
 ^{125}I -labelled protein A. After three washes for 30 min
each in PBS, the strips were dried and autoradiographed.
35 A 14.3 kd band corresponded to tat-3. The results, as

1 summarized in Table 1 below, indicate that while all
healthy normal people not known to be exposed to HTLV-III
lacked antibodies to tat-3, a substantial fraction of
people who were seropositive for other viral structural
5 proteins (envelope and core antigens) had detectable
antibody level to tat-3.

One hundred seven serum samples were examined. They
were divided into four categories, based on accepted
Center for Disease Control definitions: (1) healthy
10 seronegative (no reactivity with gag or env proteins)
(HN); (2) healthy HTLV-III carriers (includes sera from
individuals in high risk populations and positive for
HTLV-III antibodies against env or gag protein, but free
of clinical symptoms) (HC), (3) individuals with
15 AIDS-related complex (ARC); and (4) individuals with
acquired immuno deficiency syndrome (AIDS).

None of the serum samples from category (1) were
reactive with the tat-3. Approximately similar
percentages of samples (53%, 29% and 53%) in each of the
20 other categories were reactive with the tat-3. Reactions
ranged from strongly reactive to weakly reactive without
correlation to the stage of progression of the disease.

Table 1

25	<u>Sera</u>	<u>No. tested</u>	<u>No. positive</u>	<u>% positive</u>
	HN	15	0	0
	HC	30	16	53
30	ARC	28	8	29
	AIDS	34	18	53

The lower immunoreactivity of tat-3 as compared to
25 env or gag proteins may be due to the lower level of the

- 13 -

- 1 protein expressed in vivo, presence of fewer immunogenic
epitopes and the presumed nuclear localization of tat-3.
This example demonstrates utility of the
bacterially-derived tat-3 protein in diagnosing infection
5 by HTLV-III in an animal.

The above description and Examples are illustrative
of the invention and of preferred embodiments thereof.
The invention, however, is not limited to embodiments
specifically disclosed herein but rather includes all
10 modifications coming within the scope of the claims which
follow.

15

20

25

30

35

1 Claims:

1. An E. coli expression vector which comprises a DNA coding sequence operatively linked to a regulatory element wherein the DNA coding sequence codes for the tat-3 protein of HTLV-III or for a derivative thereof,
5 which derivative is a polypeptide which is reactive with antisera to tat-3 induced in response to infection in an animal by HTLV-III.

2. The vector of claim 1 wherein the DNA coding
10 sequence codes for a polypeptide having the amino acid sequence: N-MET (GLU PRO VAL)_n ASP PRO ARG LEU GLU PRO TRP LYS HIS PRO GLY SER GLN PRO LYS THR ALA CYS THR ASN CYS TYR CYS LYS LYS CYS CYS PHE HIS CYS GLN VAL CYS PHE ILE THR LYS ALA LEU GLY ILE SER TYR GLY ARG LYS LYS ARG
15 ARG GLN ARG ARG ARG PRO PRO GLN GLY SER GLN THR HIS GLN VAL SER LEU SER LYS GLN PRO THR SER GLN SER ARG GLY ASP PRO THR GLY PRO LYS GLU-C, wherein n is 0 or 1.

3. The vector of claim 2 wherein the DNA coding sequence is as follows: ATG (GAX CCX GTX)_n GAT CCT AGA
20 CTA GAG CCC TGG AAG CAT CCA GGA AGT CAG CCT AAA ACT GCT TGT ACC AAT TGC TAT TGT AAA AAG TGT TGC TTT CAT TGC CAA GTT TGT TTC ATA ACA AAA GCC TTA GGC ATC TCC TAT GGC AGG AAG AAG CGG AGA CAG CGA CGA AGA CCT CCT CAA GGC AGT CAG ACT CAT CAA GTT TCT CTA TCA AAG CAA CCC ACC TCC CAA TCC
25 CGA GGG GAC CCG ACA GGC CCG AAG GAA TAG, wherein n is 0 or 1 and X is G or A.

4. The vector of claim 1, 2 or 3 wherein the regulatory element comprises the PL promoter of lambda, the Nut L and Nut R recognition sites and the cII ribosome
30 binding site.

5. The vector of claim 1, 2 or 3 which is pAS1, or a derivative thereof, into which the coding sequence for tat-3 has been inserted.

1 6. The vector of claim 5 which is pOTS-tatIIID or
pOTS-tatIII.

 7. A method for detecting infection in an animal by
HTLV-III which comprises contacting a sample of serum from
5 the animal with tat-3, or a derivative thereof which
derivative is a polypeptide which is reactive with
antisera to tat-3 induced in response to infection in an
animal by HTLV-III, and assaying for reactivity of the
sample with the tat-3 or tat-3 derivative.

10 8. The method of claim 7 wherein the tat-3 or tat-3
derivative is derived from E. coli transformed with an
expression vector which comprises a DNA coding sequence
operatively linked to a regulatory element wherein the DNA
coding sequence codes for the tat-3 protein of HTLV-III or
15 for a derivative thereof, which derivative is a
polypeptide which is reactive with antisera to tat-3
induced in response to infection in an animal by HTLV-III.

 9. The method of claim 8 wherein the tat-3 or tat-3
derivative has the following amino acid sequence: N-MET
20 (GLU. PRO VAL)_n ASP PRO ARG LEU GLU PRO TRP LYS HIS PRO
GLY SER GLN PRO LYS THR ALA CYS THR ASN CYS TYR CYS LYS
LYS CYS CYS PHE HIS CYS GLN VAL CYS PHE ILE THR LYS ALA
LEU GLY ILE SER TYR GLY ARG LYS LYS ARG ARG GLN ARG ARG
ARG PRO PRO GLN GLY SER GLN THR HIS GLN VAL SER LEU SER
25 LYS GLN PRO THR SER GLN SER ARG GLY ASP PRO THR GLY PRO
LYS GLU-C, wherein n is 0 or 1.

 10. The method of claim 9 wherein the DNA coding
sequence is as follows: ATG (GAX CCX GTX)_n GAT CCT AGA
CTA GAG CCC TGG AAG CAT CCA GGA AGT CAG CCT AAA ACT GCT
30 TGT ACC AAT TGC TAT TGT AAA AAG TGT TGC TTT CAT TGC CAA
GTT TGT TTC ATA ACA AAA GCC TTA GGC ATC TCC TAT GGC AGG
AAG AAG CGG AGA CAG CGA CGA AGA CCT CCT CAA GGC AGT CAG
ACT CAT CAA GTT TCT CTA TCA AAG CAA CCC ACC TCC CAA TCC

1 CGA GGG GAC CCG ACA GGC CCG AAG GAA TAG, wherein n is 0 or
1 and X is G or A.

11. The method of claim 8, 9 or 10 wherein, in the
vector, the regulatory element comprises the PL promoter
5 of lambda, Nut L and Nut R recognition sites and the cII
ribosome binding site.

12. The method of claim 8, 9 or 10 wherein the vector
is pAS1, or a derivative thereof, into which the tat-3
coding sequence has been inverted.

10 13. The method of claim 12 in which the vector is
pOTS-tatIIID or pOTS-tatIII.

14. The method of claim 7, 8, 9 or 10 which also
comprises contacting the sample with one or more other
HTLV-III gene products.

15 15. The method of claim 7, 8, 9 or 10 in which the
sample is contacted with the tat-3 in a western blotting
assay.

20

25

30

35

INTERNATIONAL SEARCH REPORT

International Application No **PCT/US 86/02374**

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ³		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC(4) C07/k 13/00, 15/04, C12N 7/00, 15/00; C12P19/34; A61K39/12, 39/21 US 435/5, 68, 424/89 530/300		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁴		
Classification System	Classification Symbols	
US	435/5, 6, 68, 70, 91, 253, 172.3, 317; 536/27, 935/29, 81 424/85, 86, 89; 514/2, 12; 530/300, 826	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁵		
CHEMICAL ABSTRACTS DATA BASE (CAS) 1967-1986 BIOLOGICAL ABSTRACTS DATA BASE (BIOSIS) 1969-1986 KEYWORDS: HTLV-III; LAV; ARV; TAT-III		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴		
Category ⁶	Citation of Document, ¹⁵ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸
Y	SCIENCE, (Washington, D.C., U.S.A.), Volume 229, issued 05 July, 1985, (ARYA ET AL), "Trans-Activator Gene of Human T-Lymphotropic Virus Type III (HTLV-II), see page 69.	1-15
Y	SCIENCE, (Washington, D.C., U.S.A.), Volume 229, issued 05 July, 1985, (SODROSKI ET AL), "Location of the Trans-Activating Region on the Genome of Human T-Cell Lymphotropic Virus Type III", see page 74.	1-15
Y	US, A 4520113 (GALLO ET AL), 28 May, 1985, see column 1,	1-15
Y,P	CELL, (Cambridge, Massachusetts), Volume 43, issued December, 1985, (CROWL ET AL), "HTLV-III env Gene Products Synthesized in E. coli Are Recognized by Antibodies Present in the Sera of Aids Patients", see page 461.	7-15
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>¹⁹ Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>		
IV. CERTIFICATE		
Date of the Actual Completion of the International Search ²		Date of Mailing of this International Search Report ³
23 DECEMBER 1986		05 JAN 1987
International Searching Authority ¹		Signature of Authorized Officer ²⁰
ISA/US		Stephanie Seidman Ph.D. J.D.

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

Y

PROCEEDING NATIONAL ACADEMY SCIENCES
 (Washington, D.C., U.S.A.), Volume
 81, issued October 1984, (KIYOKAWA
 ET AL), "Envelope proteins of human
 T-cell leukemia virus: Expression
 in Escherichia coli and its applica-
 tion to studies of env gene
 functions", see page 6202.

1-15

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE ¹⁰

This International search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers because they relate to subject matter ¹² not required to be searched by this Authority, namely:

2. ☐ Claim numbers because they relate to parts of the international application that do not comply with the prescribed require-
 ments to such an extent that no meaningful international search can be carried out ¹³, specifically:

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING ¹¹

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Remark on Protest

☐ The additional search fees were accompanied by applicant's protest.

☐ No protest accompanied the payment of additional search fees.

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

Category *	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No ¹⁸
------------	--	------------------------------------

Y	<p><u>PROCEEDINGS NATIONAL ACADEMY SCIENCES</u> (Washington, D.C., U.S.A.), Volume 82, issued November, 1985, (DOWBENKO ET AL), "Bacterial expression of the acquired immunodeficiency syndrome retrovirus p24 gag protein and its use as a diagnostic reagent", see page 7748.</p>	1-15
---	---	------

THIS PAGE BLANK (USPTO)